

COMPLIANCE WITH ARTICLE 13.3 REPORTING OBLIGATIONS

The ATT Monitor considers an annual report to be fully compliant with the requirements laid out in Article 13.3¹ if a:

1. Report is submitted to the ATT Secretariat
2. Report is submitted on-time within one week of 31 May deadline²
3. Report includes both exports and imports of conventional arms covered under Article 2.1 and/or relevant 'nil' reports

The number of States Parties required to submit an annual report has increased each year since the ATT came into force in 2014 as new States Parties have ratified and acceded to the Treaty.

Table 1 – Number of annual reports due per reporting year

Report on transfers during calendar year	2015	2016	2017	2018	2019
Number of reports due to be submitted	61	75	89	92	97

The percentage of States Parties submitting annual reports declined from **82 per cent** (in 2015) to **64 per cent** (in 2019).

- Fifty-eight States Parties have submitted a report every year they were required to do so.
- Twenty-eight States Parties required to submit reports have not done so in any year.

Table 2 – Number and percentage of States Parties fully compliant with Article 13.3 reporting obligations

Report on transfers during calendar year	2015	2016	2017	2018	2019
Number compliant	29	29	36	44	34
Per cent compliant	48	39	40	48	35

KEY FINDING

Less than half of States Parties have fulfilled all ATT reporting requirements in any given year.

1 Each State Party shall submit annually to the Secretariat by 31 May a report for the preceding calendar year concerning authorized or actual exports and imports of conventional arms covered under Article 2.1. Reports shall be made available and distributed to States Parties by the Secretariat.
2 States Parties are granted by the ATT Secretariat a seven-day grace period beyond the deadline set out in Article 13 to submit their reports, creating a de facto deadline of 7 June each year.