

## INITIAL REPORTS DATA TABLES

This table presents States Parties' responses to questions from publicly available initial reports submitted prior to 24 June 2020. The questions are separated into six thematic sections representing the main topics contained within the Arms Trade Treaty (ATT):

- National control system
- Exports and imports
- Transit, transshipment and brokering
- Prohibitions
- Diversion
- Enforcement

States Parties required to submit an initial report by 24 June 2020 form the basis of analysis presented here.

State responses are denoted by check marks (✓), X, and dashes. Check marks represent 'yes' responses, X represent 'no' responses, and dashes represent when a State did not provide a response to a given question. Plus signs (+) in the table represent special situations, either where a state has referenced relevant legislation for requested information in its Initial Report but has not provided it directly, or where information has been discussed but not defined by the State Party. Information reflects what states self-reported and was not independently verified.



**AN EX-COMBATANT HOLDS UP MUNITIONS IN CÔTE D'IVOIRE AFTER A DISARMAMENT, DEMOBILIZATION AND REINTEGRATION (DDR) OPERATION CONDUCTED BY UNOCI IN 2012.**

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## INITIAL REPORTS DATA TABLES

### NATIONAL CONTROL SYSTEM

State Party	National control system includes national control list	National control system covers exports	National control system covers imports	National control system covers brokering	National control system covers 8 categories covered in Article 2.1	National control system covers ammunition	National control system covers parts and components
Albania	✓	✓	✓	✓	✓	✓	✓
Antigua and Barbuda	X	X	X	X	X	X	X
Argentina	✓	✓	✓	X	✓	✓	✓
Australia	✓	✓	✓	✓	✓	✓	✓
Austria	✓	✓	✓	✓	✓	✓	✓
BAHAMAS							
BARBADOS							
Belgium	✓	✓	✓	✓	✓	✓	✓
Belize	X	✓	X	X	X	X	X
Benin*							
Bosnia and Herzegovina	✓	✓	✓	✓	✓	✓	✓
BRAZIL							
Bulgaria	✓	✓	✓	✓	✓	✓	✓
Burkina Faso*							
CAMEROON							
CAPE VERDE							
CENTRAL AFRICAN REPUBLIC							
CHAD							
Chile*							
Costa Rica	✓	X	✓	✓	✓	✓	X
Côte d'Ivoire	✓	-	✓	✓	✓	✓	✓
Croatia	✓	✓	✓	✓	✓	✓	✓
Cyprus*							
Czech Republic	✓	✓	✓	✓	✓	✓	✓
Denmark	✓	✓	✓	✓	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### NATIONAL CONTROL SYSTEM (CONTINUED)

State Party	National control system includes national control list	National control system covers exports	National control system covers imports	National control system covers brokering	National control system covers 8 categories covered in Article 2.1	National control system covers ammunition	National control system covers parts and components
DOMINICA							
Dominican Republic	✓	X	✓	X	✓	✓	✓
El Salvador	X	✓	✓	X	X	X	X
Estonia	✓	✓	✓	✓	✓	✓	✓
Finland	✓	✓	✓	✓	✓	✓	✓
France	✓	✓	✓	✓	✓	✓	✓
Georgia	✓	✓	✓	✓	✓	✓	✓
Germany	✓	✓	✓	✓	✓	✓	✓
GHANA							
Greece*							
GRENADA							
GUATEMALA							
GUINEA							
GUINEA BISSAU							
GUYANA							
Honduras*							
Hungary	✓	✓	✓	✓	✓	✓	✓
Iceland	✓	✓	✓	✓	✓	✓	✓
Ireland	✓	✓	✓	✓	✓	✓	✓
Italy	✓	✓	✓	✓	✓	✓	✓
Jamaica	X	✓	✓	X	X	X	X
Japan	✓	✓	✓	✓	✓	✓	✓
Kazakhstan*							
Latvia	✓	✓	✓	✓	✓	✓	✓
LESOTHO							
Liberia	X	X	✓	✓	X	X	X

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 – No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### NATIONAL CONTROL SYSTEM (CONTINUED)

State Party	National control system includes national control list	National control system covers exports	National control system covers imports	National control system covers brokering	National control system covers 8 categories covered in Article 2.1	National control system covers ammunition	National control system covers parts and components
Liechtenstein	✓	✓	✓	✓	✓	✓	✓
Lithuania	✓	✓	✓	✓	✓	✓	✓
Luxembourg	✓	✓	✓	X	✓	✓	✓
Madagascar*							
MALI							
Malta*							
MAURITANIA							
Mauritius*							
Mexico	✓	✓	✓	✓	✓	✓	✓
Monaco	✓	✓	✓	✓	✓	✓	✓
Montenegro	✓	✓	✓	✓	✓	✓	✓
MOZAMBIQUE							
Netherlands	✓	✓	✓	✓	✓	✓	✓
New Zealand	✓	✓	✓	✓	✓	✓	✓
NIGER							
Nigeria*							
Norway	✓	✓	✓	✓	✓	✓	✓
Panama	X	X	X	X	X	X	X
Paraguay	X	X	✓	✓	X	✓	✓
Peru	X	✓	✓	✓	-	-	-
Poland	✓	✓	✓	✓	✓	✓	✓
Portugal	✓	✓	✓	✓	✓	✓	✓
Republic of Korea	✓	✓	✓	✓	✓	✓	✓
Republic of Moldova	✓	✓	✓	X	✓	✓	✓
Republic of North Macedonia	✓	✓	✓	✓	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### NATIONAL CONTROL SYSTEM (CONTINUED)

State Party	National control system includes national control list	National control system covers exports	National control system covers imports	National control system covers brokering	National control system covers 8 categories covered in Article 2.1	National control system covers ammunition	National control system covers parts and components
Romania	✓	✓	✓	✓	✓	✓	✓
SAINT KITTS AND NEVIS							
SAINT LUCIA							
SAINT VINCENT AND THE GRENADINES							
Samoa	✓	X	✓	X	✓	✓	✓
SAN MARINO							
Senegal*							
Serbia	✓	✓	✓	✓	✓	✓	✓
SEYCHELLES							
Sierra Leone	✓	X	✓	X	X	✓	✓
Slovakia	✓	✓	✓	✓	✓	✓	✓
Slovenia	✓	✓	✓	✓	✓	✓	✓
South Africa	✓	✓	✓	✓	✓	✓	✓
Spain	✓	✓	✓	✓	✓	✓	✓
State of Palestine*							
Suriname	X	X	X	X	X	X	X
Sweden	✓	✓	✓	✓	✓	✓	✓
Switzerland	✓	✓	✓	✓	✓	✓	✓
Togo	✓	✓	✓	✓	✓	✓	✓
Trinidad and Tobago	✓	✓	✓	✓	✓	✓	X
Tuvalu*							
United Kingdom	✓	✓	✓ (partial)	✓	✓	✓	✓
Uruguay	X	✓	✓	X	✓	✓	✓
Zambia	X	✓	✓	X	X	X	X

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 – No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### EXPORTS & IMPORTS

State Party	EXPORTS		IMPORTS
	Have measures to ensure authorizations are detailed and issued prior to export	Authorizations can be reassessed if new and relevant information becomes available	Have measures to ensure appropriate and relevant information is available to exporting State in order to assist an export assessment
Albania	✓	✓	✓
Antigua and Barbuda	X	X	X
Argentina	✓	✓	✓
Australia	✓	✓	✓
Austria	✓	✓	✓
BAHAMAS			
BARBADOS			
Belgium	✓	✓	✓
Belize	+ (unclear because indicated both yes and no)	✓	✓
Benin*			
Bosnia and Herzegovina	✓	✓	✓
BRAZIL			
Bulgaria	✓	✓	✓
Burkina Faso*			
CAMEROON			
CAPE VERDE			
CENTRAL AFRICAN REPUBLIC			
CHAD			
Chile*			
Costa Rica	X	X	✓
Côte d'Ivoire	-	-	✓
Croatia	✓	✓	✓
Cyprus*			
Czech Republic	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### EXPORTS & IMPORTS (CONTINUED)

State Party	EXPORTS		IMPORTS
	Have measures to ensure authorizations are detailed and issued prior to export	Authorizations can be reassessed if new and relevant information becomes available	Have measures to ensure appropriate and relevant information is available to exporting State in order to assist an export assessment
Denmark	✓	✓	✓
DOMINICA			
Dominican Republic	X	X	✓
El Salvador	✓	X	X
Estonia	✓	✓	✓
Finland	✓	✓	✓
France	✓	✓	✓
Georgia	✓	-	✓
Germany	✓	✓	✓
GHANA			
Greece*			
GRENADA			
GUATEMALA			
GUINEA			
GUINEA BISSAU			
GUYANA			
Honduras*			
Hungary	✓	-	X
Iceland	✓	✓	✓
Ireland	✓	✓	✓
Italy	✓	✓	✓
Jamaica	✓	✓	✓
Japan	✓	✓	✓
Kazakhstan*			
Latvia	✓	✓	✓
LESOTHO			

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes      - No response  
 X State indicated no      + Special situation

## INITIAL REPORTS DATA TABLES

### EXPORTS & IMPORTS (CONTINUED)

State Party	EXPORTS		IMPORTS
	Have measures to ensure authorizations are detailed and issued prior to export	Authorizations can be reassessed if new and relevant information becomes available	Have measures to ensure appropriate and relevant information is available to exporting State in order to assist an export assessment
Liberia	X	X	X
Liechtenstein	✓	✓	✓
Lithuania	✓	✓	✓
Luxembourg	✓	✓	✓
Madagascar*			
MALI			
Malta*			
MAURITANIA			
Mauritius*			
Mexico	✓	✓	✓
Monaco	✓	✓	✓
Montenegro	✓	✓	✓
MOZAMBIQUE			
Netherlands	✓	✓	✓
New Zealand	✓	✓	✓
NIGER			
Nigeria*			
Norway	✓	✓	✓
Panama	X	X	✓
Paraguay	X	X	✓
Peru	✓	✓	✓
Poland	✓	✓	✓
Portugal	✓	✓	✓
Republic of Korea	✓	✓	✓
Republic of Moldova	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes      – No response  
 X State indicated no      + Special situation



## INITIAL REPORTS DATA TABLES

### EXPORTS & IMPORTS (CONTINUED)

State Party	EXPORTS		IMPORTS
	Have measures to ensure authorizations are detailed and issued prior to export	Authorizations can be reassessed if new and relevant information becomes available	Have measures to ensure appropriate and relevant information is available to exporting State in order to assist an export assessment
Republic of North Macedonia	✓	✓	✓
Romania	✓	✓	✓
SAINT KITTS AND NEVIS			
SAINT LUCIA			
SAINT VINCENT AND THE GRENADINES			
Samoa	✓	✓	X
SAN MARINO			
Senegal*			
Serbia	✓	✓	✓
SEYCHELLES			
Sierra Leone	X	X	✓
Slovakia	✓	✓	✓
Slovenia	✓	✓	✓
South Africa	✓	✓	✓
Spain	✓	✓	✓
State of Palestine*			
Suriname	X	X	X
Sweden	✓	✓	✓
Switzerland	✓	✓	✓
Togo	✓	-	✓
Trinidad and Tobago	✓	✓	X
Tuvalu*			
United Kingdom	✓	✓	✓
Uruguay	✓	X	✓
Zambia	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### TRANSIT / TRANSHIPMENT & BROKERING

State Party	TRANSIT / TRANSHIPMENT		BROKERING	
	Includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2.1	Includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2.1	Includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2.1	Provides definition of brokering
Albania	✓	✓	✓	✓
Antigua and Barbuda	✗	✗	✗	✗ (reported that it has no laws on brokering)
Argentina	✓	✓	✗	✗ (reported that it has no definition because brokering is not allowed)
Australia	✓	✓	✓	+ (discussed but not defined)
Austria	✓	✓	✓	✓
BAHAMAS				
BARBADOS				
Belgium	✗	✓	✓	✓
Belize	✗	✗	✗	✗
Benin*				
Bosnia and Herzegovina	✓	✓	✓	✓
BRAZIL				
Bulgaria	✓	✓	✓	✓
Burkina Faso*				
CAMEROON				
CAPE VERDE				
CENTRAL AFRICAN REPUBLIC				
CHAD				
Chile*				
Costa Rica	✓	✓	✓	+ (references relevant legislation)

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 ✗ State indicated no  
 – No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### TRANSIT / TRANSHIPMENT & BROKERING (CONTINUED)

State Party	TRANSIT / TRANSHIPMENT		BROKERING	
	Includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2.1	Includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2.1	Includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2.1	Provides definition of brokering
Côte d'Ivoire	✓	✓	✓	+ (references relevant legislation)
Croatia	✓	✓	✓	✓
Cyprus*				
Czech Republic	✓	✗	✓	✓
Denmark	✓	✓	✓	✓
DOMINICA				
Dominican Republic	✓	✗	+ (in draft legislation)	✓ (draft definition)
El Salvador	✗	✗	✗	✗
Estonia	✓	✓	✓	✓
Finland	✓	✓	✓	✓
France	✓	✓	✓	+ (references relevant legislation)
Georgia	✓	✓	✓	✓
Germany	✓	✓	✓	✓
GHANA				
Greece*				
GRENADA				
GUATEMALA				
GUINEA				
GUINEA BISSAU				
GUYANA				
Honduras*				
Hungary	✓	✓	✓	✓

\* Report is private  
Has submitted public report  
HAS NOT SUBMITTED REPORT

✓ State indicated yes  
✗ State indicated no  
– No response  
+ Special situation

## INITIAL REPORTS DATA TABLES

### TRANSIT / TRANSHIPMENT & BROKERING (CONTINUED)

State Party	TRANSIT / TRANSHIPMENT		BROKERING	
	Includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2.1	Includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2.1	Includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2.1	Provides definition of brokering
Iceland	✓	✓	✓	✓
Ireland	✓	✓	✓	✓
Italy	✓	✓	✓	✓
Jamaica	✓	✓	+ (considering legislative amendments to explicitly cover brokering)	X
Japan	✓	✓	✓	X
Kazakhstan*				
Latvia	✓	✓	✓	✓
LESOTHO				
Liberia	X	✓	✓	✓
Liechtenstein	✓	✓	✓	✓
Lithuania	✓	✓	✓	✓
Luxembourg	✓	✓	+ (legislation is under review)	+ (legislation with definition is under review)
Madagascar*				
MALI				
Malta*				
MAURITANIA				
Mauritius*				
Mexico	✓	✓	✓	✓
Monaco	X	X	✓	X
Montenegro	✓	✓	✓	✓
MOZAMBIQUE				
Netherlands	✓	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes      – No response  
 X State indicated no      + Special situation

## INITIAL REPORTS DATA TABLES

### TRANSIT / TRANSHIPMENT & BROKERING (CONTINUED)

State Party	TRANSIT / TRANSHIPMENT		BROKERING	
	Includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2.1	Includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2.1	Includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2.1	Provides definition of brokering
New Zealand	✓	✓	✓	✓
NIGER				
Nigeria*				
Norway	✓	✓	✓	✓
Panama	✓	✓	X	X
Paraguay	✓	✓	✓	✓
Peru	✓	✓	✓	+ (references relevant legislation)
Poland	✓	✓	✓	✓
Portugal	✓	✓	✓	✓
Republic of Korea	✓	✓	✓	✓
Republic of Moldova	✓	✓	+ (updated legislation should be in place that includes brokering regulations)	X
Republic of North Macedonia	✓	X	✓	✓
Romania	✓	✓	✓	✓
SAINT KITTS AND NEVIS				
SAINT LUCIA				
SAINT VINCENT AND THE GRENADINES				
Samoa	✓	✓	X	X
SAN MARINO				
Senegal*				
Serbia	✓	✓	✓	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 – No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### TRANSIT / TRANSHIPMENT & BROKERING (CONTINUED)

State Party	TRANSIT / TRANSHIPMENT		BROKERING	
	Includes measures that allow the regulation, where necessary and feasible, of transit of conventional arms covered under Article 2.1	Includes measures that allow the regulation, where necessary and feasible, of trans-shipment of conventional arms covered under Article 2.1	Includes measures that allow the regulation, pursuant to national laws, of brokering of conventional arms covered under Article 2.1	Provides definition of brokering
SEYCHELLES				
Sierra Leone	X	X	X	X
Slovakia	✓	✓	✓	✓
Slovenia	✓	✓	✓	✓
South Africa	✓	✓	✓	X
Spain	✓	✓	✓	✓
State of Palestine*				
Suriname	X	X	X	X
Sweden	✓	✓	✓	✓
Switzerland	✓	✓	✓	✓
Togo	✓	✓	✓	X
Trinidad and Tobago	✓	✓	✓	X
Tuvalu*				
United Kingdom	✓	✓	✓	X
Uruguay	✓	✓	X	X
Zambia	✓	✓	+ (noted that national legislation will establish measures to regulate brokering)	+ (noted that national legislation will provide definition for brokering)

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 – No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### PROHIBITIONS

State Party	Prohibits arms transfers in all circumstances specified in Article 6
Albania	✓
Antigua and Barbuda	X
Argentina	✓
Australia	✓
Austria	✓
BAHAMAS	
BARBADOS	
Belgium	✓
Belize	X
Benin*	
Bosnia and Herzegovina	✓
BRAZIL	
Bulgaria	✓
Burkina Faso*	
CAMEROON	
CAPE VERDE	
CENTRAL AFRICAN REPUBLIC	
CHAD	
Chile*	
Costa Rica	✓
Côte d'Ivoire	✓
Croatia	✓
Cyprus*	
Czech Republic	✓
Denmark	✓
DOMINICA	
Dominican Republic	✓

State Party	Prohibits arms transfers in all circumstances specified in Article 6
El Salvador	✓
Estonia	✓
Finland	✓
France	✓
Georgia	✓
Germany	✓
GHANA	
Greece*	
GRENADA	
GUATEMALA	
GUINEA	
GUINEA BISSAU	
GUYANA	
Honduras*	
Hungary	✓
Iceland	✓
Ireland	✓
Italy	✓
Jamaica	✓
Japan	✓
Kazakhstan*	
Latvia	✓
LESOTHO	
Liberia	X
Liechtenstein	✓
Lithuania	✓
Luxembourg	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes      – No response  
 X State indicated no      + Special situation

## INITIAL REPORTS DATA TABLES

### PROHIBITIONS (CONTINUED)

State Party	Prohibits arms transfers in all circumstances specified in Article 6
Madagascar*	
MALI	
Malta*	
MAURITANIA	
Mauritius*	
Mexico	✓
Monaco	-
Montenegro	✓
MOZAMBIQUE	
Netherlands	✓
New Zealand	✓
NIGER	
Nigeria*	
Norway	✓
Panama	X
Paraguay	✓
Peru	✓
Poland	✓
Portugal	✓
Republic of Korea	✓
Republic of Moldova	✓
Republic of North Macedonia	✓
Romania	✓

State Party	Prohibits arms transfers in all circumstances specified in Article 6
SAINT KITTS AND NEVIS	
SAINT LUCIA	
SAINT VINCENT AND THE GRENADINES	
Samoa	✓
SAN MARINO	
Senegal*	
Serbia	✓
SEYCHELLES	
Sierra Leone	✓
Slovakia	✓
Slovenia	✓
South Africa	✓
Spain	✓
State of Palestine*	
Suriname	X
Sweden	✓
Switzerland	✓
Togo	✓
Trinidad and Tobago	X
Tuvalu*	
United Kingdom	✓
Uruguay	X
Zambia	✓

\* Report is private  
 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation



## INITIAL REPORTS DATA TABLES

### DIVERSION

State Party	Measures in place to prevent diversion	Measures in place to be taken when diversion has been detected	Provision, upon request, of end-use / end-user documentation to the exporting state	Require end-use / end-user assurances from an importing State (or industry)	Examine parties involved in transfer	Require, where appropriate, additional documentation, certification, and/or other assurances	Exchange relevant information with other States Parties
Albania	✓	✓	✓	✓	✓	✓	✓
Antigua and Barbuda	✓	X	X	X	X	X	X
Argentina	✓	✓	✓	✓	✓	✓	✓
Australia	✓	✓	✓	✓	✓	✓	✓
Austria	✓	✓	✓	✓	✓	✓	✓
BAHAMAS							
BARBADOS							
Belgium	✓	✓	✓	✓	✓	✓	✓
Belize	✓	X	-	-	-	-	-
Benin*							
Bosnia and Herzegovina	✓	✓	✓	✓	✓	✓	✓
BRAZIL							
Bulgaria	✓	✓	✓	✓	✓	✓	✓
Burkina Faso*							
CAMEROON							
CAPE VERDE							
CENTRAL AFRICAN REPUBLIC							
CHAD							
Chile*							
Costa Rica	✓	✓	✓	✓	X	✓	✓
Côte d'Ivoire	✓	✓	-	-	-	-	-
Croatia	✓	✓	✓	✓	✓	✓	✓
Cyprus*							
Czech Republic	✓	✓	✓	✓	✓	✓	✓
Denmark	✓	✓	X	✓	✓	✓	✓

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 Has submitted public report  
 HAS NOT SUBMITTED REPORT

✓ State indicated yes  
 X State indicated no  
 - No response  
 + Special situation

## INITIAL REPORTS DATA TABLES

### DIVERSION (CONTINUED)

State Party	Measures in place to prevent diversion	Measures in place to be taken when diversion has been detected	Provision, upon request, of end-use / end-user documentation to the exporting state	Require end-use / end-user assurances from an importing State (or industry)	Examine parties involved in transfer	Require, where appropriate, additional documentation, certification, and/or other assurances	Exchange relevant information with other States Parties
DOMINICA							
Dominican Republic	✓	✓	✓	✓	✓	✓	✓
El Salvador	✓	X	✓	✓	✓	✓	✓
Estonia	✓	✓	✓	✓	✓	✓	✓
Finland	✓	✓	✓	✓	✓	✓	✓
France	✓	✓	✓	✓	✓	✓	✓
Georgia	✓	✓	-	-	-	-	-
Germany	✓	✓	✓	✓	✓	✓	✓
GHANA							
Greece*							
GRENADA							
GUATEMALA							
GUINEA							
GUINEA BISSAU							
GUYANA							
Honduras*							
Hungary	✓	X	-	-	-	-	-
Iceland	✓	✓	✓	✓	✓	✓	✓
Ireland	✓	✓	✓	✓	✓	✓	✓
Italy	✓	✓	✓	✓	✓	✓	✓
Jamaica	✓	X	✓	✓	✓	✓	✓
Japan	✓	✓	✓	✓	-	-	✓
Kazakhstan*							
Latvia	✓	✓	✓	✓	✓	✓	✓
LESOTHO							
Liberia	✓	X	X	X	X	X	X

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## INITIAL REPORTS DATA TABLES

### DIVERSION (CONTINUED)

State Party	Measures in place to prevent diversion	Measures in place to be taken when diversion has been detected	Provision, upon request, of end-use / end-user documentation to the exporting state	Require end-use / end-user assurances from an importing State (or industry)	Examine parties involved in transfer	Require, where appropriate, additional documentation, certification, and/or other assurances	Exchange relevant information with other States Parties
Liechtenstein	✓	✓	✓	✓	✓	✓	✓
Lithuania	✓	✓	✓	✓	✓	✓	✓
Luxembourg	✓	X	✓	✓	✓	✓	✓
Madagascar*							
MALI							
Malta*							
MAURITANIA							
Mauritius*							
Mexico	✓	✓	✓	✓	✓	✓	✓
Monaco	-	-	-	-	-	-	-
Montenegro	-	-	-	-	-	-	-
MOZAMBIQUE							
Netherlands	✓	✓	✓	✓	✓	✓	✓
New Zealand	✓	✓	✓	✓	✓	✓	✓
NIGER							
Nigeria*							
Norway	✓	✓	✓	✓	✓	✓	✓
Panama	✓	✓	✓	✓	X	✓	✓
Paraguay	X	X	X	X	X	X	X
Peru	✓	✓	✓	✓	✓	✓	✓
Poland	✓	✓	✓	✓	✓	✓	✓
Portugal	✓	✓	✓	✓	✓	✓	✓
Republic of Korea	✓	✓	✓	✓	✓	✓	✓
Republic of Moldova	✓	✓	✓	✓	✓	✓	✓
Republic of North Macedonia	✓	✓	✓	✓	X	X	✓

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## INITIAL REPORTS DATA TABLES

### DIVERSION (CONTINUED)

State Party	Measures in place to prevent diversion	Measures in place to be taken when diversion has been detected	Provision, upon request, of end-use / end-user documentation to the exporting state	Require end-use / end-user assurances from an importing State (or industry)	Examine parties involved in transfer	Require, where appropriate, additional documentation, certification, and/or other assurances	Exchange relevant information with other States Parties
Romania	✓	✓	✓	✓	✓	✓	✓
SAINT KITTS AND NEVIS							
SAINT LUCIA							
SAINT VINCENT AND THE GRENADINES							
Samoa	✓	X	✓	✓	✓	✓	✓
SAN MARINO							
Senegal*							
Serbia	✓	✓	✓	✓	✓	✓	✓
SEYCHELLES							
Sierra Leone	✓	✓	✓	✓	✓	✓	✓
Slovakia	✓	✓	✓	✓	✓	✓	✓
Slovenia	✓	✓	✓	✓	✓	✓	✓
South Africa	✓	✓	✓	✓	✓	✓	✓
Spain	✓	✓	✓	✓	✓	✓	✓
State of Palestine*							
Suriname	X	X	X	X	X	X	X
Sweden	✓	✓	✓	✓	✓	✓	✓
Switzerland	✓	✓	✓	✓	✓	✓	✓
Togo	✓	✓	✓	✓	✓	✓	✓
Trinidad and Tobago	✓	X	✓	X	X	X	X
Tuvalu*							
United Kingdom	✓	✓	✓	✓	X	✓	✓
Uruguay	✓	✓	✓	✓	✓	✓	✓
Zambia	✓	✓	✓	✓	✓	✓	✓

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## INITIAL REPORTS DATA TABLES

### ENFORCEMENT

State Party	Measures in place to enforce national laws and regulations that implement the ATT	National legislation allows for the provision of joint assistance in investigations, prosecutions and judicial proceedings
Albania	✓	✓
Antigua and Barbuda	X	X
Argentina	✓	✓
Australia	✓	-
Austria	✓	✓
BAHAMAS		
BARBADOS		
Belgium	✓	✓
Belize	✓	✓
Benin*		
Bosnia and Herzegovina	✓	✓
BRAZIL		
Bulgaria	✓	✓
Burkina Faso*		
CAMEROON		
CAPE VERDE		
CENTRAL AFRICAN REPUBLIC		
CHAD		
Chile*		
Costa Rica	-	-
Côte d'Ivoire	✓	✓
Croatia	✓	✓
Cyprus*		
Czech Republic	✓	✓
Denmark	✓	✓
DOMINICA		
Dominican Republic	✓	✓

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## INITIAL REPORTS DATA TABLES

### ENFORCEMENT (CONTINUED)

State Party	Measures in place to enforce national laws and regulations that implement the ATT	National legislation allows for the provision of joint assistance in investigations, prosecutions and judicial proceedings
El Salvador	✓	✓
Estonia	✓	✓
Finland	✓	✓
France	✓	X
Georgia	✓	✓
Germany	✓	✓
GHANA		
Greece*		
GRENADA		
GUATEMALA		
GUINEA		
GUINEA BISSAU		
GUYANA		
Honduras*		
Hungary	✓	✓
Iceland	✓	✓
Ireland	✓	✓
Italy	✓	✓
Jamaica	X	X
Japan	✓	✓
Kazakhstan*		
Latvia	✓	✓
LESOTHO		
Liberia	X	✓
Liechtenstein	✓	✓
Lithuania	✓	✓
Luxembourg	✓	✓

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## INITIAL REPORTS DATA TABLES

### ENFORCEMENT (CONTINUED)

State Party	Measures in place to enforce national laws and regulations that implement the ATT	National legislation allows for the provision of joint assistance in investigations, prosecutions and judicial proceedings
Madagascar*		
MALI		
Malta*		
MAURITANIA		
Mauritius*		
Mexico	✓	X
Monaco	✓	-
Montenegro	-	-
MOZAMBIQUE		
Netherlands	✓	✓
New Zealand	✓	✓
NIGER		
Nigeria*		
Norway	✓	✓
Panama	X	X
Paraguay	✓	✓
Peru	✓	✓
Poland	✓	✓
Portugal	✓	✓
Republic of Korea	✓	✓
Republic of Moldova	✓	✓
Republic of North Macedonia	✓	✓
Romania	✓	✓
SAINT KITTS AND NEVIS		
SAINT LUCIA		
SAINT VINCENT AND THE GRENADINES		

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## INITIAL REPORTS DATA TABLES

### ENFORCEMENT (CONTINUED)

State Party	Measures in place to enforce national laws and regulations that implement the ATT	National legislation allows for the provision of joint assistance in investigations, prosecutions and judicial proceedings
Samoa	✓	✓
SAN MARINO		
Senegal*		
Serbia	✓	-
SEYCHELLES		
Sierra Leone	✓	✓
Slovakia	✓	✓
Slovenia	✓	✓
South Africa	✓	✓
Spain	✓	✓
State of Palestine*		
Suriname	X	X
Sweden	✓	✓
Switzerland	✓	✓
Togo	X	✓
Trinidad and Tobago	✓	✓
Tuvalu*		
United Kingdom	✓	✓
Uruguay	✓	✓
Zambia	✓	✓

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