

APPENDIX 1

TRENDS ANALYSIS: REPORTING

STATE	REPORTING (2009-2013)		
	REPORTED TO UNROCA	REPORTED TO COMTRADE	PUBLISHED NATIONAL REPORT
Afghanistan			
ALBANIA	✓	✓	✓
Algeria			
ANDORRA	✓		
ANGOLA			
ANTIGUA AND BARBUDA	✓	✓	
ARGENTINA	✓	✓	
Armenia	✓	✓	
AUSTRALIA	✓	✓	
AUSTRIA	✓	✓	✓
Azerbaijan	✓		
BAHAMAS	✓	✓	
BAHRAIN		✓	
BANGLADESH	✓	✓	
BARBADOS	✓		
Belarus	✓		✓
BELGIUM	✓	✓	✓
BELIZE	✓	✓	
BENIN		✓	
Bhutan	✓	✓	
Bolivia	✓	✓	
BOSNIA AND HERZEGOVINA	✓		✓
Botswana		✓	
BRAZIL	✓	✓	
Brunei			
BULGARIA	✓		✓

Information correct as of 10 July 2015.

States:

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Reporting between 2009 and 2013:

✓ = Reported at least once between 2009 & 2013
 N = Submitted only 'Nil Report' between 2009 & 2013

STATE	REPORTING (2009-2013)		
	REPORTED TO UNROCA	REPORTED TO COMTRADE	PUBLISHED NATIONAL REPORT
BURKINA FASO		✓	
BURUNDI		✓	
CAMBODIA	✓	✓	
CAMEROON		✓	
Canada	✓	✓	✓
CAPE VERDE		✓	
Central African Republic		✓	
CHAD			
CHILE	✓	✓	
China	✓	✓	
COLOMBIA	✓	✓	
COMOROS	✓	✓	
CONGO		✓	
COSTA RICA		✓	
COTE D'IVOIRE		✓	
CROATIA	✓	✓	✓
Cuba			
CYPRUS	✓	✓	
CZECH REPUBLIC	✓	✓	✓
DR Congo			
DPR Korea			
DENMARK	✓	✓	✓
DJIBOUTI		✓	
DOMINICA		✓	
DOMINICAN REPUBLIC	✓	✓	
Ecuador	N	✓	
Egypt		✓	
EL SALVADOR	✓	✓	
Equatorial Guinea			
Eritrea			

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ESTONIA	✓	✓	✓
Ethiopia		✓	
Fiji		✓	
FINLAND	✓	✓	✓
FRANCE	✓	✓	✓
GABON		✓	
GAMBIA		✓	
GEORGIA			
GERMANY	✓	✓	✓
GHANA		✓	
GREECE	✓	✓	
GRENADA	✓	✓	
GUATEMALA		✓	
GUINEA	✓	✓	
GUINEA-BISSAU			
GUYANA	✓	✓	
HAITI			
HONDURAS		✓	
HUNGARY	✓	✓	✓
ICELAND	✓	✓	
India	✓	✓	
Indonesia		✓	
Iran			
Iraq			
IRELAND	✓	✓	✓
ISRAEL	✓	✓	
ITALY	✓	✓	✓
JAMAICA		✓	
JAPAN	✓	✓	
Jordan			

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Kazakhstan	✓		
Kenya		✓	
KIRIBATI		✓	
Kuwait		✓	
Kyrgyzstan	N		
Laos	N		
LATVIA	✓	✓	
LEBANON	✓	✓	
LESOTHO		✓	
LIBERIA			
LIBYA		✓	
LIECHTENSTEIN	✓		
LITHUANIA	✓	✓	
LUXEMBOURG	✓	✓	
FYR MACEDONIA	✓		✓
MADAGASCAR	✓	✓	
MALAWI		✓	
MALAYSIA	✓	✓	
Maldives	N		
MALI		✓	
MALTA	✓	✓	
Marshall Islands	N		
MAURITANIA		✓	
Mauritius	N	✓	
MEXICO	✓	✓	
Micronesia			
MOLDOVA	✓		
Monaco	N		
MONGOLIA	✓	✓	
MONTENEGRO	✓	✓	✓

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Morocco		✓	
MOZAMBIQUE	✓	✓	
Myanmar			
NAMIBIA		✓	
NAURU	✓		
Nepal	N	✓	
NETHERLANDS	✓	✓	✓
NEW ZEALAND	✓	✓	
Nicaragua		✓	
NIGER		✓	
NIGERIA		✓	
NORWAY	✓	✓	✓
Oman		✓	
Pakistan	✓	✓	
PALAU	✓	✓	
PANAMA		✓	
Papua New Guinea		✓	
PARAGUAY		✓	
PERU	✓	✓	
PHILIPPINES		✓	
POLAND	✓	✓	✓
PORTUGAL	✓	✓	✓
Qatar	✓	✓	
REPUBLIC OF KOREA	✓	✓	
ROMANIA	✓		✓
Russian Federation	✓	✓	
RWANDA		✓	
SAINT KITTS AND NEVIS		✓	
SAINT LUCIA		✓	
SAINT VINCENT AND THE GRENADINES		✓	

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SAMOA	N	✓	
SAN MARINO	✓		
SAO TOME AND PRINCIPE		✓	
Saudi Arabia		✓	
SENEGAL		✓	
SERBIA	✓	✓	✓
SEYCHELLES		✓	
SIERRA LEONE			
SINGAPORE	✓	✓	
SLOVAKIA	✓	✓	✓
SLOVENIA	✓		✓
Solomon Islands	N	✓	
Somalia			
SOUTH AFRICA	✓		✓
South Sudan			
SPAIN	✓	✓	✓
Sri Lanka		✓	
Sudan		✓	
SURINAME	✓	✓	
SWAZILAND			
SWEDEN	✓	✓	✓
SWITZERLAND	✓	✓	✓
Syrian Arab Republic		✓	
Tajikistan	N		
TANZANIA		✓	
THAILAND	✓	✓	
Timor-Leste			
TOGO			
Tonga		✓	
TRINIDAD AND TOBAGO	✓	✓	

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Tunisia	N	✓	
TURKEY	✓	✓	
Turkmenistan	N		
TUVALU			
Uganda		✓	
UKRAINE	✓		✓
UNITED ARAB EMIRATES	✓	✓	
UNITED KINGDOM	✓	✓	✓
UNITED STATES OF AMERICA	✓	✓	✓
URUGUAY	✓	✓	
Uzbekistan			
VANUATU		✓	
Venezuela		✓	
Viet Nam	✓	✓	
Yemen		✓	
ZAMBIA		✓	
ZIMBABWE		✓	

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APPENDIX 2

1. SOURCES AND METHODOLOGY FOR DATA ON REPORTING

For reporting to the **UN Register**, the ATT Monitor consulted the Index of the 'Information submitted by Governments' section of the regular Report of the Secretary-General on the United Nations Register of Conventional Arms (including addendums). The following documents were specifically consulted: A/69/124/Add.1; A/69/124; A/68/138/Add.1; A/68/138; A/67/212/ADD.2; A/67/212/ADD.1; A/67/212; A/66/127; A/66/127/ Add.1; A/65/133; A/65/133/Add.1; A/65/133/Add.2; A/65/133/Add.3; A/65/133/Add.4 A/65/133/Add.5. All the reports are available on the website of the UN Office of Disarmament Affairs.¹ The reports were accessed during December 2014 and January 2015. Nil Reports were treated as the State not having submitted any information on transfers because they only pertain to the Register's seven major categories of conventional arms, and do not allow for submission of background information where transfers of small arms and light weapons can be recorded.

Reporting to **Comtrade** was assessed using Harmonized System 2012 Nomenclature Categories 930120; 930119; 930190; 930200; 930320; 930330. Data had previously been downloaded from the UN Statistics Division's website (from <http://comtrade.un.org/>) and entered into the Norwegian Initiative on Small Arms Transfers database. The most recent download date was 25 November 2014.

National reports on States' arms imports and exports were accessed via the National Reports Database run by the Stockholm International Peace Research Institute (SIPRI), and available on the SIPRI website.²

¹ | UN Register on Conventional Arms, accessed 25 June 2015; <http://www.un.org/disarmament/convarms/Register/>

² | Stockholm International Peace Research Institute (SIPRI), National Reports Database, accessed 25 June 2015; http://www.sipri.org/research/armaments/transfers/transparency/national_reports/sipri-national-reports-database